IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Patrick Pierre Notte

Serial No. 10/534,306

Filed: May 9, 2005

For:

HEAT TRANSFER FLUIDS FOR APPLICATION OVER A BROAD RANGE OF **TEMPERATURES**

PETITION FOR CANCELLATION OF APPLICATION AND REIMBURSEMENT OF FEES

RECEIVED

PCT Legal Office Office of Commissioner P.O. Box 1450 Alexandria, VA 22313-1450

3 1 JAN 2006

Legal Staff International Division

Dear Sir:

Due to poor coordination between the applicant and his attorneys, two applications were filed in the U.S. Patent and Trademark office for entry into national phase for PCT/EP2003/012170.

One application was filed by a first attorney on 06 May 2005 which was identified as U.S. Serial No. 10/534,086. The second application was filed by a second attorney on 09 May 2005 and was identified as U.S. Serial No. 10/534,306.

It is requested that U.S. Serial No. 10/534,306 be canceled and that the fees paid be reimbursed to Armstrong, Kratz, Quintos, Hanson & Brooks, LLP.

on 30, 2006

Robert M. Gamson Reg. No. 32,986

Attorney for Applicant

Respectfully submitted

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP Intellectual Property Law Offices 502 Washington Avenue, Suite 220 Towson, MD 21204

Telephone: (410) 337-2295 Facsimile: (410) 337-2296

RMG/chb

P:\HOME\Clients\0083\0034\05124 PTO petition.wpd

CERTIFICATE OF TRANSMITTAL

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450. Date: January 30, 2006

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Carolyn H. Bates

JC10 Rec'd PCT/PTO 0'9 MAY 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0551-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/FO/LIS)

05124-PCT-PA

| | CERNING A SUBMISSION | | U.S. APPRIOTION (I knam nee 37 CFR 1.5) | | | | | | |
|--|---|---|--|--|--|--|--|--|--|
| INTERNAT | FIONAL APPLICATION, NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | |
| | 2003/,2170 | 30 October 2003 | 07 November 2002 | | | | | | |
| • | INVENTION | | ICE OF MEMBERS MINES | | | | | | |
| HEAT TRANSFER FLUIDS FOR APPLICATION OVER A BROAD RANGE OF TEMPERATURES APPLICANT(S) FOR DO/EO/US Patrick Piere Notte; John Joseph Tria; and | | | | | | | | | |
| | David Lo | o Davidson (deceased) - | Dawn King Davidson (heiress) | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. 🗴 | This is a FIRST submission of Items co | oncerning a submission under 35 U.S.C. 37 | 1. | | | | | | |
| | | submission of Items concerning a submission | | | | | | | |
| 3. X T | his is an express request to begin nat (5), (6), (9) and (21) Indicated below. | ional examination procedures (35 U.S.C. 37 | 1(f)). The submission must include items | | | | | | |
| | The US has been elected (Article 31). | | | | | | | | |
| 5. X | A copy of the International Applicatio | n as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | donly if not communicated by the Internation | nal Bureau). | | | | | | |
| 5 | b. has been communicated by | #*· | | | | | | | |
| [37] | | cation was filed in the United States Receiving | | | | | | | |
| 6. X | | e International Application as filed (35 U.S.C | C. 371(c)(2)). | | | | | | |
| | a. is attached hereto. | | | | | | | | |
| ज | • • | tled under 35 U.S.C. 154(d)(4). | | | | | | | |
| 7. X | Amendments to the claims of the Inte | ernational Application under PCT Article 19 (| 35 U.S.C. 371(c)(3)) | | | | | | |
| | a are attached hereto (requi | red only if not communicated by the Internal | iional Bureau). | | | | | | |
| | b. have been communicated | by the International Bureau. | | | | | | | |
| | c. have not been made; how | ever, the time timit for making such amenda | nents has NOT expired. | | | | | | |
| | d. 🖾 have not been made and v | vill not be made. | | | | | | | |
| 8. | An English language translation of the | e amendments to the claims under PCT Art | icle 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. | An oath or declaration of the Inventor | (s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| 10. | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)). | e annexes of the International Pretiminary E | xamination Report under PCT | | | | | | |
| Items | 11 to 20 below concern decument(s |) or information included: | | | | | | | |
| 17. | An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12 | An assignment document for recording | g. A separate cover sheet in compilance wit | th 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. 🔲 | A preliminary amendment. | | | | | | | | |
| 14. | An Application Data Sheet under 37 (| OFR 1.76. | | | | | | | |
| 15. 🔲 | A substitute specification. | | | | | | | | |
| 16. 🔲 | A power of attorney and/or change of | address latter. | | | | | | | |
| 17. | A computer-readable form of the sequ | uence listing in accordance with PCT Rule 1 | 3ter.2 and 37 CFR 1.621- 1.825. | | | | | | |
| 18. 🔲 | A second copy of the published Interr | national Application under 35 U.S.C. 154(d)(| 4). | | | | | | |
| 19 | A second copy of the English language | ge translation of the international application | under 35 U.S.C. 154(d)(4). | | | | | | |
| 20. | Other items or information: | and the second | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PC Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC14 Rec'd PCT/PTO 0.9 MAY 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | TION NO. (if known, see 3 | ATTORNEY'S DOCKET NUMBER | | | | | | | |
|--|---|--------------------------|--|---------------------------|---------------|-----------------|--|--|--|
| } | 10/5343 | 05124-PCT-PA | | | | | | | |
| 21. The follow | ing fees are submitted: | | | | Applicant use | Office use only | | | |
| | | • | ······································ | • • • | \$ 300.00 | <u> </u> | | | |
| x b) Examin | nation fee | | | \$200.00 | \$ 200.00 | | | | |
| x c) Search f | fee | | ······································ | \$500.00 | \$ 500.00 | ļ | | | |
| | TOTAL OF ABOVE CAL | LCULATION | IS = | \$1000.00 | \$ 1,000.00 | | | | |
| listing or co | fee for specification and di omputer program listing file 50 sheets of paper or fract | | | | | | | | |
| Total Sheets | Extra sheets | Number of | Number of each additional 50 or fraction RATE thereof (round up to a whole number) | | | | | | |
| - 100 = | /50 = | | | x \$250.00 | \$ -0- | | | | |
| | 30.00 for furnishing the or date (37 CFR 1.492(e)). | ath or declare | ration later than 30 months fr | om the earliest | \$ | | | | |
| CLAIMS | NUMBER FIL | LED | NUMBER EXTRA | RATE | 5 | | | | |
| Total claims | 1 1 | - 20 = | | x \$50.00 | s -0- | | | | |
| Independent clai | ims 1 | -3= | | x \$200.00 | \$ -0- | | | | |
| MULTIPLE DEP | PENDENT CLAIM(S) (if ap | pplicable) | | + \$360.00 | \$ -0- | | | | |
| | | | | CALCULATIONS = | \$ -0- | | | | |
| Applicant c | | See 37 CFR | R 1.27. The fees indicated ab | ove are reduced | \$ | | | | |
| | | | | SUBTOTAL = | \$ 1,000.00 | | | | |
| | of \$130.00 for furnishing the date (37 CFR 1.492(f)). | ne English tra | ranslation later than 30 month | ns from the earliest + | s | | | | |
| | | * * | TOTAL | NATIONAL FEE = | \$ 1,000.00 | | | | |
| | g the enclosed assignmer te cover sheet (37 CFR 3. | \$ | | | | | | | |
| | | | | EES ENCLOSED = | \$ 1,000.00 | | | | |
| | Amount to be refunded | | | | MILLERY | \$ | | | |
| | | | Am | ount to be charged | MIMILIA | ş | | | |
| a. X A chec | ck in the amount of \$ 1,0 | 000.00 | to cover the abo | ove fees is enclosed. | | | | | |
| b. Please | | | | | | | | | |
| c. 🔀 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit | | | | | | | | | |
| Account No. 02-2839. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
| | RESPONDENCE TO: | ٠٠ | 1 to portaining a | Marios | · | | | | |
| 1 | , KRATZ, QUINTO | W Louis | | | | | | | |
| | , KRATZ, QUINTO BROOKS, LLP | SIGNATURE | 1/1 | | | | | | |
| | ngton Avenue, S | Robert M. | | • | | | | | |
| Towson, MD | | | • | NAME | Gamson | ` | | | |
| 1 | 10-337-2295 | | | | | • | | | |
| Fax: 41 | ax: 410-337-2296 32,986 REGISTRATION NUMBER | | | | | | | | |